

SEEC

SEATTLE ETHICS & ELECTIONS COMMISSION

File with: Seattle City Clerk
PO BOX 94728
Seattle, WA 98124-4728
Questions: (206) 684-8500
(206) 615-1248
polly.grow@seattle.gov

SEEC FORM

F-1

(7/18)

SEEC
DOLLAR
CODE

AMOUNT

| | | | |
|-----|---------------------|----|-------------|
| (1) | \$0 | -- | \$999 |
| (2) | \$1,000 | -- | \$4,999 |
| (3) | \$5,000 | -- | \$9,999 |
| (4) | \$10,000 | -- | \$24,999 |
| (5) | \$25,000 | -- | \$99,999 |
| (6) | \$100,000 | -- | \$199,999 |
| (7) | \$200,000 | -- | \$999,999 |
| (8) | \$1,000,000 | -- | \$4,999,999 |
| (9) | \$5,000,000 or more | | |

**PERSONAL
FINANCIAL
AFFAIRS
STATEMENT**

Deadlines: Incumbent elected and appointed officials – by April 15.
Candidates and others – within two weeks of becoming a candidate or being newly appointed to a position.

SEND REPORT TO Seattle City Clerk

"Immediate family" means: (a) a spouse or domestic partner, or (b) a parent, parent of a spouse or domestic partner, child, child of spouse or domestic partner, sibling, uncle, aunt, cousin, niece or nephew, if that person either resides with or is a dependent on the Covered Individual's most recently filed federal income tax return. SMC 4.16.080

Last Name First Middle Initial

KERNER ISABELLE

Mailing Address (Use PO Box or Work Address) *

900 QUEEN ANNE AVE N #107

City County Zip + 4
SEATTLE KING 98109

Filing Status (Check only one box.)

- ☐ An elected or appointed official filing annual report
☐ Final report as an elected official. Term expired: _____
☒ Candidate running in an election: month 11 year 2019
☐ Newly appointed to an elective office

Names of immediate family members. If there is no reportable information to disclose for dependent children, or other dependents living in your household, do not identify them. Do identify your spouse or domestic partner.

Office Held or Sought

Office title: SEATTLE CITY COUNCILPosition number: 7Term begins: 1/1/2010 ends: 1/1/2024**1 INCOME**

List each employer, or other source of income (pension, social security, legal judgment, etc.) from which you or an immediate family member, received compensation, in any form, of \$2,400 or more during the period. Include stock options received during the reporting period that had a value of more than \$2,400.

Report interest and dividends in Item 3.

Show Self (S)
Spouse (SP/DP)
Dependent (D)

Name and Address of Employer or Source of Compensation

Occupation or How Compensation

Amount:
(Use Code)

| | | | |
|----------|---|-----------|-----|
| <u>S</u> | ISABELLE KERNER - CHIHULY GARDEN | Was Eamed | (4) |
| <u>S</u> | ISABELLE KERNER LLC - 900 QUEEN ANNE AVE N #107 | | (0) |
| | | | () |
| | | | () |

Check Here ☐ if continued on attached sheet**2 REAL ESTATE**

List street address, assessor's parcel number, or legal description AND county for each parcel of Washington real estate with value of over \$12,000 in which you or an immediate family member held a personal financial interest during the reporting period. (Show partnership, company, etc. real estate on F-1 supplement.)

| | | | | | |
|--|---|-------------------------------|---------------------------------------|---|--|
| Property Sold or Interest Divested | Assessed Value (Use 1-9 Code): () () | Name and Address of Purchaser | | Nature and Amount (Use Code) of Payment or Consideration Received () () | |
| Property Purchased or Interest Acquired | () () | Creditor's Name/Address | Payment Terms (eg. 20 yrs at 4.3%) | Security Given | Mortgage Amount - (Use Code) Original Current () () () () |
| All Other Property Entirely or Partially Owned | () () | | | | () () () () |

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CONTINUE ON NEXT PAGE

3

ASSETS / INVESTMENTS - INTEREST / DIVIDENDS

List bank and savings accounts, insurance policies, stock, bonds and other intangible property (including but not limited to stock options) held during the reporting period.

| | Type of Account or Description of Asset | Asset Value (Use 1-9 Code) | Income Amount (Use 1-9 Code) |
|---|---|----------------------------------|---------------------------------|
| A. Name and address of each bank or financial institution in which you or an immediate family member had an account over \$24,000 at any time during the report period. | SALAL CREDIT UNION | (4) | (0) |
| B. Name and address of each insurance company where you or an immediate family member had a policy with a cash or loan value over \$24,000 during the period. | | () | () |
| C. Name and address of each company, association, government agency, etc. in which you or an immediate family member, owned or had a financial interest worth over \$2,400. Include stocks, bonds, ownership, retirement plan, IRA, notes, stock options, and other intangible property. If you or your immediate family member had decision making authority regarding individual assets/investments list each asset or investment, the value and any income amount. EXAMPLE: If you self-directed an investment account identify each stock or other asset in that account. Stock shall be reported by market value at the time of reporting. | ROBINHOOD | (4) | (4) |
| | | () | () |
| | | (2) | (2) |
| | | () | () |

Check here ☐ if continued on attached sheet.

4

CREDITORS

List each creditor you or an immediate family member owed \$2,400 or more any time during the period. Don't include retail charge accounts, credit cards, or mortgages or real estate reported in Item 2.

AMOUNT
(USE 1-9 CODE)

| Creditor's Name and Address | Terms of Payment (eg. 6 years at 5.25%) | Security Given | original () | current () |
|-----------------------------|--|----------------|-----------------|----------------|
| N/A | | | () | () |

Check here ☐ if continued on attached sheet.

5

NET WORTH

Enter your estimated net worth.

Enter Dollar Amount

\$ 25,000

6

All filers answer questions A thru D below. If the answer is YES to any of these questions, the F-1 Supplement must also be completed as part of this report. If all answers are NO and you are a candidate or an appointee to a vacant elective office filing your initial report, no F-1 Supplement is required.

Incumbent elected officials filing an annual financial affairs report also must answer question E. An F-1 Supplement is required of these officeholders unless all answers to questions A thru E are NO.

- A. At any time during the reporting period were you and/or an immediate family member (1) an officer, director, general partner or trustee of any corporation, company, union, association, joint venture or other entity or (2) a partner or member of any limited partnership, limited liability partnership, limited liability company or similar entity including but not limited to a professional limited liability company? YES If yes, complete Supplement, Part A.
- B. Did you and/or an immediate family member have an ownership of 10% or more in any company, corporation, partnership, joint venture or other business at any time during the reporting period? YES If yes, complete Supplement, Part A.
- C. Did you and/or an immediate family member own a business at any time during the reporting period? YES If yes, complete Supplement, Part A.
- D. Did you and/or an immediate family member prepare, promote or oppose state legislation, rules, rates or standards for compensation or deferred compensation (other than pay for a currently-held public office) at any time during the reporting period? NO If yes, complete Supplement, Part B.
- E. Only for Persons Filing Annual Report. Regarding the receipt of items not provided or paid for by your governmental agency during the previous calendar year: 1) Did you, and/or an immediate family member accept a gift of food or beverages costing over \$50 per occasion? NO or 2) Did any source other than your governmental agency provide or pay in whole or in part for you and/or an immediate family member to travel or to attend a seminar or other training? NO If yes to either or both questions, complete Supplement, Part C.

ALL FILERS EXCEPT CANDIDATES. Check the appropriate box.

- ☐ I hold a local elected office. I have read and am familiar with SMC 2.04.300 regarding the use of public facilities in campaigns.

Contact Telephone: (206) 271-1560

Email: isabelle@kernerfrcouncil (work) * EDM

Email: _____ (Home) Optional

CERTIFICATION: I certify under penalty of perjury that the information contained in this report is true and correct to the best of my knowledge.

12/27/18

Date

[Signature]

Signature

*CANDIDATES: Do not use public agency addresses or telephone numbers for contact information. Report Not Acceptable Without Filer's Signature



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SEEC FORM
F-1
SUPPLEMENT
(7/18)

SUPPLEMENT PAGE

PERSONAL FINANCIAL AFFAIRS STATEMENT

PROVIDE INFORMATION FOR YOU AND ANY IMMEDIATE FAMILY MEMBERS

Last Name KEENE First ISABELLE Middle Initial U DATE 12/27/18

A

OFFICE HELD, BUSINESS INTERESTS:

Provide the following information if, during the reporting period, you or any immediate family member

- (1) were an officer, director, general partner, trustee, or 10 percent or more owner of a corporation, non-profit organization, union, partnership, joint venture or other entity; and/or
- (2) were a partner or member of a limited partnership, limited liability partnership, limited liability company or similar entity, including but not limited to a professional limited liability company.

- Legal Name: Report name used on legal documents establishing the entity.
- Trade or Operating Name: Report name used for business purposes if different from the legal name.
- Position or Percent of Ownership: The office, title and/or percent of ownership held.
- Brief Description of the Business/Organization: Report the purpose, product(s), and/or the service(s) rendered.
- Payments from Governmental Unit: If the governmental unit in which you hold or seek office made payments to the business entity concerning which you're reporting, show the purpose of each payment and the actual amount received.
- Payments from Business Customers and Other Government Agencies: List each corporation, partnership, joint venture, sole proprietorship, union, association, business or other commercial entity and each government agency (other than the one you seek/hold office) which paid compensation of \$12,000 or more during the period to the entity. Briefly say what property, goods, services or other consideration was given or performed for the compensation.
- Washington Real Estate: Identify real estate owned by the business entity if the qualifications referenced below are met.

ENTITY NO. 1

Reporting For: Self ☒ Spouse ☐

Registered Domestic Partner ☐ Dependent ☐

LEGAL NAME: ISABELLE KEENE LLC

POSITION OR PERCENT OF OWNERSHIP

100%

TRADE OR OPERATING NAME:

ADDRESS: 900 QUEEN ANNE AVE N #107
SEATTLE, WA 98109

BRIEF DESCRIPTION OF THE BUSINESS/ORGANIZATION:

ART

PAYMENTS ENTITY RECEIVED FROM GOVERNMENTAL UNIT IN WHICH YOU SEEK/HOLD OFFICE:
Purpose of payments

Amount (actual dollars)

\$ 0

PAYMENTS ENTITY RECEIVED FROM OTHER GOVERNMENT AGENCIES OF \$12,000 OR MORE:
Agency name:

Purpose of payment (amount not required)

0

PAYMENTS ENTITY RECEIVED FROM BUSINESS CUSTOMERS OF \$12,000 OR MORE
Customer name:

Purpose of payment (amount not required)

0

WASHINGTON REAL ESTATE IN WHICH ENTITY HELD A DIRECT FINANCIAL INTEREST (Complete only if ownership in the ENTITY is 10% or more and assessed value of property is over \$24,000. List street address, assessor parcel number, or legal description and county for each parcel):

Check here ☐ if continued on attached sheet

CONTINUE PARTS B AND C ON NEXT PAGE

Name

ENTITY NO. 2

KEENE FOR COUNCIL

Reporting For: Self ☒ Spouse ☐Registered Domestic Partner ☐ Dependent ☐

LEGAL NAME:

ISABELLE KEENE

POSITION OR PERCENT OF OWNERSHIP

TRADE OR OPERATING NAME:

KEENE FOR COUNCIL

100

ADDRESS:

900 QUEEN ANNE AVE N #107
SEATTLE, WA 98109

BRIEF DESCRIPTION OF THE BUSINESS/ORGANIZATION:

CAMPAIGN POLITICAL NON PROFIT

PAYMENTS ENTITY RECEIVED FROM GOVERNMENTAL UNIT IN WHICH YOU SEEK/HOLD OFFICE:
Purpose of payments

Amount (actual dollars)

\$ 0

PAYMENTS ENTITY RECEIVED FROM OTHER GOVERNMENT AGENCIES OF \$12,000 OR MORE:
Agency name:

Purpose of payment (amount not required)

PAYMENTS ENTITY RECEIVED FROM BUSINESS CUSTOMERS OF \$12,000 OR MORE
Customer name:

Purpose of payment (amount not required)

WASHINGTON REAL ESTATE IN WHICH ENTITY HELD A DIRECT FINANCIAL INTEREST (Complete only if ownership in the ENTITY is 10% or more and assessed value of property is over \$24,000. List street address, assessor parcel number, or legal description and county for each parcel):

Check here ☐ if continued on attached sheet**B**

LOBBYING:

List persons for whom you, or any immediate family member, lobbied or prepared state legislation or state rules, rates, or standards for compensation or deferred compensation. Do not list pay from government body in which you are an elected official or professional staff member.

| Person to Whom Services Rendered | Description of Legislation, Rules, Etc. | Compensation (Use Code 1-9) |
|----------------------------------|---|-----------------------------|
| | | () |
| | | () |
| | | () |

Check here ☐ if continued on attached sheet**C**FOOD
TRAVEL
SEMINARS

Complete this section if a source other than your own governmental agency paid for or otherwise provided all or a portion of the following items to you, your spouse, registered domestic partner or dependents, or a combination thereof: 1) Food and beverages costing over \$50 per occasion; 2) Travel occasions; or 3) Seminars, educational programs or other training.

| Date Received | Donor's Name, City and State | Brief Description | Actual Dollar Amount | Value (Use Code 1-9) |
|---------------|------------------------------|-------------------|----------------------|----------------------|
| | | | \$ | () |
| | | | | () |
| | | | | () |

Check here ☐ if continued on attached sheet



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PERSONAL FINANCIAL AFFAIRS STATEMENT

PROVIDE INFORMATION FOR YOU AND ANY IMMEDIATE FAMILY MEMBERS

Last Name KEENE First ISABELLE Middle Initial ✓ DATE 12/27/18

A

OFFICE HELD, BUSINESS INTERESTS:

Provide the following information if, during the reporting period, you or any immediate family member

- (1) were an officer, director, general partner, trustee, or 10 percent or more owner of a corporation, non-profit organization, union, partnership, joint venture or other entity; and/or
 - (2) were a partner or member of a limited partnership, limited liability partnership, limited liability company or similar entity, including but not limited to a professional limited liability company.
- Legal Name: Report name used on legal documents establishing the entity.
 - Trade or Operating Name: Report name used for business purposes if different from the legal name.
 - Position or Percent of Ownership: The office, title and/or percent of ownership held.
 - Brief Description of the Business/Organization: Report the purpose, product(s), and/or the service(s) rendered.
 - Payments from Governmental Unit: If the governmental unit in which you hold or seek office made payments to the business entity concerning which you're reporting, show the purpose of each payment and the actual amount received.
 - Payments from Business Customers and Other Government Agencies: List each corporation, partnership, joint venture, sole proprietorship, union, association, business or other commercial entity and each government agency (other than the one you seek/hold office) which paid compensation of \$12,000 or more during the period to the entity. Briefly say what property, goods, services or other consideration was given or performed for the compensation.
 - Washington Real Estate: Identify real estate owned by the business entity if the qualifications referenced below are met.

ENTITY NO. 1

Reporting For: Self ☒ Spouse ☐

Registered Domestic Partner ☐ Dependent ☐

LEGAL NAME: ISABELLE KEENE LLC

POSITION OR PERCENT OF OWNERSHIP

100%

TRADE OR OPERATING NAME:

ADDRESS: 900 QUEEN ANNE AVE N #107
SEATTLE, WA 98109

BRIEF DESCRIPTION OF THE BUSINESS/ORGANIZATION:

ART

PAYMENTS ENTITY RECEIVED FROM GOVERNMENTAL UNIT IN WHICH YOU SEEK/HOLD OFFICE:
Purpose of payments

Amount (actual dollars)

\$ 0

PAYMENTS ENTITY RECEIVED FROM OTHER GOVERNMENT AGENCIES OF \$12,000 OR MORE:
Agency name:

Purpose of payment (amount not required)

0

PAYMENTS ENTITY RECEIVED FROM BUSINESS CUSTOMERS OF \$12,000 OR MORE
Customer name:

Purpose of payment (amount not required)

0

WASHINGTON REAL ESTATE IN WHICH ENTITY HELD A DIRECT FINANCIAL INTEREST (Complete only if ownership in the ENTITY is 10% or more and assessed value of property is over \$24,000. List street address, assessor parcel number, or legal description and county for each parcel):

Check here ☐ if continued on attached sheet

CONTINUE PARTS B AND C ON NEXT PAGE

Name

ENTITY NO. 2

WERNER FOR COUNCIL

Reporting For: Self ☒ Spouse ☐

LEGAL NAME:

ISABELLE KEENE

Registered Domestic Partner ☐ Dependent ☐

TRADE OR OPERATING NAME:

KEENE FOR COUNCIL

POSITION OR PERCENT OF OWNERSHIP

ADDRESS:

900 DUREN ANNE AVE N #107
SEATTLE, WA 98109

100

BRIEF DESCRIPTION OF THE BUSINESS/ORGANIZATION:

CAMPAIGN POLITICAL NON PROFIT

PAYMENTS ENTITY RECEIVED FROM GOVERNMENTAL UNIT IN WHICH YOU SEEK/HOLD OFFICE:
Purpose of payments

Amount (actual dollars)

\$ 0

PAYMENTS ENTITY RECEIVED FROM OTHER GOVERNMENT AGENCIES OF \$12,000 OR MORE:
Agency name:

Purpose of payment (amount not required)

PAYMENTS ENTITY RECEIVED FROM BUSINESS CUSTOMERS OF \$12,000 OR MORE
Customer name:

Purpose of payment (amount not required)

WASHINGTON REAL ESTATE IN WHICH ENTITY HELD A DIRECT FINANCIAL INTEREST (Complete only if ownership in the ENTITY is 10% or more and assessed value of property is over \$24,000. List street address, assessor parcel number, or legal description and county for each parcel):

Check here ☐ if continued on attached sheet**B**

LOBBYING:

List persons for whom you, or any immediate family member, lobbied or prepared state legislation or state rules, rates, or standards for compensation or deferred compensation. Do not list pay from government body in which you are an elected official or professional staff member.

Person to Whom Services Rendered

Description of Legislation, Rules, Etc.

Compensation (Use Code 1-9)

()

()

()

Check here ☐ if continued on attached sheet**C**FOOD
TRAVEL
SEMINARS

Complete this section if a source other than your own governmental agency paid for or otherwise provided all or a portion of the following items to you, your spouse, registered domestic partner or dependents, or a combination thereof: 1) Food and beverages costing over \$50 per occasion; 2) Travel occasions; or 3) Seminars, educational programs or other training.

Date
Received

Donor's Name, City and State

Brief Description

Actual Dollar
AmountValue
(Use Code 1-9)

\$

()

()

()

Check here ☐ if continued on attached sheet

CENTER ART LLC
BETH REDOAWAY
SUITE 100
223 TAYLOR AVENUE NORTH
SEATTLE WA 98109

ISABELLE KERNER
1
604 W KINNEAR PL
SEATTLE, WA 98119

Have Retirement Accounts with Former Employers?

Consider consolidating your previous retirement plan accounts with your current plan at The Standard. You can keep better track of your savings when it's all in one place.



To arrange a rollover, call
800.858.5420 or visit
www.standard.com/retirement.

Your Retirement Account Statement

CHIHULY GARDEN AND GLASS
RETIREMENT PLAN

July 1, 2018 - September 30, 2018

Your Account Summary

| | |
|--|-------------------|
| Beginning Balance as of 7/1/18 | \$699.74 |
| Money In | |
| Contributions | \$300.15 |
| Money Out | |
| Distributions | \$0.00 |
| Deduction/Credits | \$(1.29) |
| Gain/Loss | \$27.50 |
| Total Ending Balance as of 9/30/18..... | \$1,026.10 |
| Vested Balance* | \$955.11 |
| * Your vested balance is ownership of your employer contributions; employee contributions are always 100 percent vested. For more details, see Page 2. | |
| Pre-Tax Contribution Rate | 3.00% |

Your Current Asset Allocation



■ Other 100.00%

100.00% Other.....\$1,026.10

Your Personal Rate of Return:

This Quarter 3.04%
Year to Date 5.50%

Your Account Activity By Contribution Source

| Contribution Source | Balance on 7/1/18 | Contributions | Gain/Loss | Transfers | Distributions | Deductions/ Credits | Balance on 9/30/18 | Vested Balance | Vested Percent |
|---------------------|-------------------|---------------|--------------|-------------|---------------|---------------------|--------------------|-----------------|----------------|
| Pre-Tax | 339.64 | 200.08 | 13.72 | 0.00 | 0.00 | (0.63) | 552.81 | 552.81 | 100% |
| Matching | 169.87 | 100.07 | 6.86 | 0.00 | 0.00 | (0.31) | 276.49 | 235.02 | 85% |
| Profit Sharing | 190.23 | 0.00 | 6.92 | 0.00 | 0.00 | (0.35) | 196.80 | 167.28 | 85% |
| Total | \$699.74 | 300.15 | 27.50 | 0.00 | 0.00 | (1.29) | \$1,026.10 | \$955.11 | |

The Standard monitors frequent trading activity and enforces applicable trading restrictions, which may include assessment of redemption fees imposed by the underlying funds. If a redemption fee was assessed against one or more transactions, the total amount of the fees is shown along with any other deductions or credits for the period. For more information, contact a participant services representative at 800.858.5420.

Your Account Activity By Investment

| Investment | Balance on 7/1/18 | Contributions | Gain/Loss | Transfers | Distributions | Deductions/ Credits | Balance on 9/30/18 |
|-----------------------------|-------------------|---------------|--------------|-------------|---------------|---------------------|--------------------|
| Vanguard Balanced Index Adm | 699.74 | 300.15 | 27.50 | 0.00 | 0.00 | (1.29) | 1,026.10 |
| Total | \$699.74 | 300.15 | 27.50 | 0.00 | 0.00 | (1.29) | \$1,026.10 |

| Investment | Share Value on 7/1/18 | Shares on 7/1/18 | Share Value on 9/30/18 | Shares on 9/30/18 |
|-----------------------------|-----------------------|------------------|------------------------|-------------------|
| Vanguard Balanced Index Adm | 34.84 | 20.08 | 36.11 | 28.42 |

Your Plan's Investment Performance

| Investment Option | Future Contributions | Total Return | | | Annualized Return | | | Inception Date | Ticker Symbol |
|---|----------------------|--------------|---------|---------|-------------------|--------|---------|----------------|---------------|
| | | Quarter | YTD | 1 Year | 3 Year | 5 Year | 10 Year | | |
| Cash Equivalent | | | | | | | | | |
| Vanguard Federal Mny Mkt Inv | | 0.48% | 1.23% | 1.50% | 0.79% | 0.48% | 0.34% | 07/13/81 | VMFX |
| Bond | | | | | | | | | |
| Vanguard Short Term Investment (35.11) | | 0.61% | 0.30% | 0.25% | 1.65% | 1.77% | 3.23% | 02/12/01 | VFSUX |
| Pioneer Bond K (34.97) | | 0.39% | (0.95)% | (0.05)% | 2.65% | 3.09% | 5.20% | 12/20/12 | PBFX |
| Voya Intermediate Bond R6 (29.92) | | 0.33% | (1.39)% | (0.89)% | 2.43% | 3.15% | 4.85% | 05/31/13 | ILBZX |
| Large Cap Stock | | | | | | | | | |
| Large Cap Stock | | | | | | | | | |
| Vanguard Equity Income Adm (24.85) | | 5.34% | 4.10% | 10.70% | 14.89% | 11.64% | 11.16% | 08/13/01 | VEIRX |
| Fidelity 500 Index Institution (50.42) | | 7.71% | 10.55% | 17.90% | 17.30% | 13.94% | 11.95% | 05/04/11 | FXAIX |
| T.Rowe Price Blue Chip Growth (24.73) | | 6.46% | 19.03% | 27.41% | 21.82% | 17.65% | 15.54% | 12/17/15 | T8CIX |
| Small/Mid Cap Stock | | | | | | | | | |
| Small/Mid Cap Stock | | | | | | | | | |
| MFS Mid Cap Value R6 (4.95) | | 3.56% | 3.99% | 8.80% | 12.16% | 10.06% | 11.76% | 02/01/13 | MVCKX |
| Wells Fargo Special Mid Cap Va (19.75) | | 3.09% | 0.83% | 4.95% | 11.78% | 10.44% | 11.85% | 06/28/13 | WFPRX |
| Neuberger Berman Mid Cap Growth (12.64) | | 7.55% | 15.15% | 23.27% | 15.13% | 12.45% | 12.24% | 03/15/13 | NRMGX |
| DFA US Targeted Value I (24.15) | | 1.51% | 5.28% | 10.02% | 14.29% | 9.69% | 10.84% | 02/23/00 | OFFVX |
| Oppenheimer Discovery I (12.82) | | 9.36% | 22.60% | 31.30% | 19.10% | 12.56% | 13.54% | 01/27/12 | OOIIX |
| T.Rowe Price New Horizons I (25.69) | | 9.08% | 25.52% | 31.36% | 23.34% | 16.55% | 18.02% | 08/28/15 | PRJIX |
| International Stock | | | | | | | | | |
| International Stock | | | | | | | | | |
| MFS International Value R6 (50.80) | | 2.43% | 2.15% | 8.22% | 12.68% | 9.11% | 9.85% | 04/28/06 | MINJX |
| American Funds EuroPacific R5 (49.20) | | (0.86)% | (2.68)% | 1.44% | 9.88% | 6.15% | 6.81% | 05/15/02 | RERFX |
| Other | | | | | | | | | |
| ✓ Vanguard Balanced Index Adm | 100% | 4.23% | 5.66% | 9.85% | 10.62% | 8.90% | 8.99% | 11/13/00 | V8IAX |

✓ Checkmark indicates you currently have assets in this investment option.

Benchmark Comparisons

To help you better understand the performance of the investment options in your retirement account, we have included benchmark comparisons from five respected indexes.

| Index | Total Return | | | Annualized Return | | Description |
|---------------------------------------|--------------|---------|---------|-------------------|--------|--|
| | Quarter | YTD | 1 Year | 3 Year | 5 Year | |
| 3 Month Treasury Bill | 0.53% | 1.41% | 1.73% | 0.92% | 0.56% | 3 Month US Treasury Bill Yield |
| Standard & Poor's 500 Index | 7.71% | 10.56% | 17.91% | 17.31% | 13.95% | Large Cap Index: 500 companies in major industries |
| Barclays Capital Aggregate Bond Index | 0.02% | (1.60)% | (1.22)% | 1.31% | 2.16% | Broad Investment Grade Bond Market Index |
| Russell 2000 Index | 3.58% | 11.51% | 15.24% | 17.12% | 11.07% | Small Cap Index: smallest 2000 co.'s of Russell 3000 |
| Morgan Stanley Europe/Asia/Far East | 1.35% | (1.43)% | 2.74% | 9.23% | 4.42% | Foreign Index: non-U.S. firms Europe Asia & Far East |

Information about the performance of these indices is provided as a benchmark against which you may compare the performance of the plan's investment options. This information is provided for comparison purposes only; indices are not investment options.

Performance quoted is past performance and is no guarantee of future results. Current performance may be higher or lower. Investment performance includes capital appreciation, if any, plus reinvested dividends and capital gains. The investment return and principal value will fluctuate and your shares, when redeemed, may be worth more or less than the original investment. The information shown is as of the last business day of the quarter.

Notes on Performance: Investors should carefully assess the risks associated with an investment in a fund. International investing involves certain risks, such as currency fluctuation, economic instability and political development. These risks may be accentuated in emerging markets. Small company investing involves specific risks not necessarily encountered in large company investing, such as increased volatility. Funds investing in bonds are subject to certain risk including interest-rate risk, credit risk and inflation risk. As interest rates rise, the prices of bonds fall. The investor should be aware of the possible higher level of volatility and increased risk of default in high-yield bonds. Funds may focus on certain sectors, which may involve a greater degree of risk than other funds which provide broader diversification.

Fees and Charges

The plan incurs operational expenses (e.g., recordkeeping, accounting, consulting and legal services) that may be paid by your employer, paid by revenue sharing received from fund companies, deducted from participant accounts or any combination of these sources. The amount deducted from your account this quarter for operational expenses was:

Administrative Fees:

Recordkeeping, accounting, consulting and legal \$1.29

Fees, based on their application, will be reflected under Your Account Activity sections by contribution source and investment in the deduction/credit or gain/loss columns. These amounts include non-fee related activity and may not equal the total amount shown under the administration fee.

Certain services for your plan account are subject to transaction fees. During the past quarter the following transaction fees were deducted from your account:

None

Important Notices

Please review your statement carefully. You must notify The Standard within 15 days of receipt of this statement if, during the period covered by this statement, an error occurred. You may give notice by contacting a participant service representative at 800.858.5420. Unless you give such notice, The Standard will not be liable for any resulting loss to your account. In any case, The Standard will not be liable if its liability is otherwise limited by regulation or agreement.

Your investment return is a comprehensive calculation of the assets' performance which reflects the earnings on all your plan investments with The Standard during the specified period. The calculation uses a weighted cash flow formula based on daily data that takes into account the size and timing of deposits and/or withdrawals during the specified period. Examples of cash flows include contributions/distributions, rollovers and transfers. If the underlying assets in your account decline in value, your investment return may be negative.

The investment return calculation will be affected by any significant cash flows into or out of your account such as when you first enter the plan or if you roll over a lump sum from a prior account. During a period of significant cash flow, the calculation may indicate an exaggerated investment return.

Since there are a variety of ways to calculate rate of return, formulas used by different financial institutions may yield different results. Investment decisions should not be made on investment returns alone.

Important Notices (continued)

To help achieve long-term retirement security, you should carefully consider the benefits of a well-balanced and diversified investment portfolio, including your assets held outside the Plan. By spreading your assets among different types of investments, you can help achieve a favorable rate of return while minimizing your risk of loss. If you invest more than 20% of your retirement savings in any one company or industry, your savings may not be properly diversified. While diversification isn't a guarantee against loss, it's an effective strategy to help manage risk. It's also important to periodically review your investment portfolio, your investment objectives, and the investment options under the Plan to help ensure that your retirement savings goals are being met. For more sources of information on individual investing and diversification, you may visit the Department of Labor's Web site at <http://www.dol.gov/ebsa/investing.html>.

The Standard is the marketing name for StanCorp Financial Group, Inc. and its subsidiaries. StanCorp Equities, Inc., member FINRA, wholesales a group annuity contract issued by Standard Insurance Company and a mutual fund trust platform for retirement plans. Third-party administrative services are provided by Standard Retirement Services, Inc. Investment advisory services are provided by StanCorp Investment Advisers, Inc., a registered investment advisor. StanCorp Equities, Inc., Standard Insurance Company, Standard Retirement Services, Inc. and StanCorp Investment Advisers, Inc. are subsidiaries of StanCorp Financial Group, Inc. and all are Oregon corporations.

Retirement Plans Advisory Service Center

1100 SW Sixth Avenue
Portland, OR 97204
Phone: 800.858.5420



This insert provides information about the Advisory Service Center licensed individuals who provide services for your account and the contact information for Erik Prest, who reviews their work through office interactions, call reviews and observations. This information is a supplement to the StanCorp Investment Advisers brochure. You can contact the Advisory Service Center at 800.858.5420 if you did not receive the brochure, if you have any questions about its contents or to contact Erik Prest, Manager of the ASC.

Chris Campbell

Educational Background and Business Experience

- Year of birth: 1965
- Education: Bachelor's degree in French from the University of Oregon
- Employment: Joined The Standard in Oct. 2010 as a Participant Services Representative and became an Advisory Services Representative in May 2013; previously employed at The Vanguard Group.
- Disciplinary information: None

Business Activities

- Investment Adviser affiliations: Investment Advisory Representative, StanCorp Investment Advisers, Inc.
- Broker/dealer affiliations: Registered representative, StanCorp Equities, Inc.
- Other business activities: None

Doug Corra

Educational Background and Business Experience

- Year of birth: 1964
- Education: Bachelor's degree in Management from Indiana University
- Employment: Joined The Standard as an Advisory Services Representative in February 2017; previously employed at Edward Jones, PNC Bank, Merrill Lynch, and Fidelity Investments.
- Disciplinary information: None

Business Activities

- Investment Adviser affiliations: Investment Advisory Representative, StanCorp Investment Advisers, Inc.
- Broker/dealer affiliations: Registered representative, StanCorp Equities, Inc.
- Other business activities: None
- Professional designations: Chartered Retirement Planning Counselor

Nicole Cutcher

Educational Background and Business Experience

- Year of birth: 1981
- Education: Bachelor's degree in Psychology from the University of Toledo
- Employment: Joined The Standard in June, 2018 as an Advisory Services Representative; previously employed at Huntington Bank and Fidelity Investments
- Disciplinary information: None

Business Activities

- Investment Adviser affiliations: None
- Broker/dealer affiliations: Registered representative, StanCorp Equities, Inc.
- Other business activities: None

Steve Him

Educational Background and Business Experience

- Year of birth: 1985
- Education: Bachelor's degree in Psychology from Portland State University
- Employment: Joined The Standard as a Participant Services Representative in Oct. 2015 and became an Advisory Services Rep in April 2016; previously employed at JP Morgan Securities, LLC
- Disciplinary information: None

Business Activities

- Investment Adviser affiliations: None
- Broker/dealer affiliations: Registered representative, StanCorp Equities, Inc.
- Other business activities: None

Marina Leathers

Educational Background and Business Experience

- Year of birth: 1962
- Education: Business administration studies at Portland Community College; law and philosophy studies at Universidad Santo Tomas de Aquinas
- Employment: Joined The Standard as a Participant Services Representative in May 2014; previously employed at Transamerica, Financial Advisers, Inc. and World Group Securities, Inc.
- Disciplinary information: None

Business Activities

- Investment Adviser affiliations: None
- Broker/dealer affiliations: Registered representative, StanCorp Equities, Inc.
- Other business activities: None

Emanuel Marshall

Educational Background and Business Experience

- Year of birth: 1962
- Education: Masters of Religion/Apologetics, Liberty University; Bachelor of Business Science, Indiana Wesleyan University
- Employment: Joined The Standard as an Advisory Services Representative in Dec 2017; previously employed Fidelity Brokerage Services, Inc. since 1993.
- Disciplinary information: None

Business Activities

- Investment Adviser affiliations: Investment Advisory Representative, StanCorp Investment Advisers, Inc.
- Broker/dealer affiliations: Registered representative, StanCorp Equities, Inc.
- Other business activities: None

Marija Petrova Muta

Educational Background and Business Experience

- Year of birth: 1988
- Education: Associate degree in Business Administration from North Country Community College
- Employment: The Standard in June, 2018 as an Advisory Services Representative; previously employed at JP Morgan Securities, LLC and Wells Fargo Bank
- Disciplinary information: None

Business Activities

- Investment Adviser affiliations: None
- Broker/dealer affiliations: Registered representative, StanCorp Equities, Inc.
- Other business activities: None

Sandra Sechrist

Educational Background and Business Experience

- Year of birth: 1966
- Education: Bachelor's Degree in Business Administration from Miami University, Oxford, Ohio
- Employment: Joined The Standard as an Advisory Services Representative in February 2017 and became an Advisory Services Representative in April 2017; previously employed at Transamerica.
- Disciplinary information: None

Business Activities

- Investment Adviser affiliations: None
- Broker/dealer affiliations: Registered representative, StanCorp Equities, Inc.
- Other business activities: None

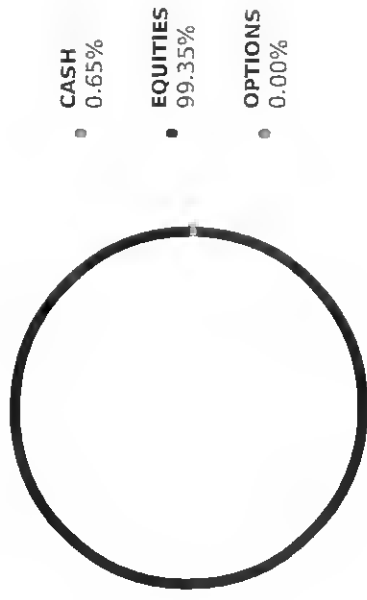


85 Willow Rd, Menlo Park, CA 94025
support@robinhood.com
CORRECTED STATEMENT

11/01/2018 to 11/30/2018
Isabelle Kerner Account #:108374364
2530 Q St NW Apt 34 Washington DC 20007

■ OPTIONS ■ EQUITIES ■ CASH

Portfolio Allocation



Account Summary

| | OPENING BALANCE | CLOSING BALANCE |
|---------------------|--------------------|--------------------|
| Net Account Balance | N/A | \$67.51 |
| Total Securities | N/A | \$10,321.88 |
| Portfolio Value | N/A | \$10,389.39 |

Income and Expense Summary

| | THIS PERIOD | YEAR TO DATE |
|-------------------|-------------|--------------|
| Taxable dividends | \$0.00 | \$0.00 |
| Capital Gains | \$0.00 | \$0.00 |
| Interest | \$0.00 | \$0.00 |

This statement shall be conclusive if not objected to in writing within ten days. Errors and omissions exempted. Please address all communications to the firm and not to the individuals. Address changes or other material changes on your account should be directed to the office servicing your account. Kindly mention your account number. **This statement should be retained for income tax purposes.**



85 Willow Rd, Menlo Park, CA 94025
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CORRECTED STATEMENT

IMPORTANT INFORMATION

Robinhood Securities, LLC ("RHS") carries your account as the clearing broker by arrangement with your introducing broker-dealer, Robinhood Financial, LLC ("RHF").

If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on debt balances in your account is shown on this statement. This rate may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 1st day of the month to the last day of the month. The interest is based on the average daily balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the new debt balance.

We are required to report to the Internal Revenue Service all cash dividends and interest credited to your account on securities held for you in our name. All dividends and interest credits should be included in your income tax return.

Information relative to fees and any other charges incurred in connection with listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of the information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure which randomly selects from amongst all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request. You may have received a confirmation for a trade which does not appear on this statement. If the settlement date of the trade as shown on the confirmation is later than the period ending date that appears at the top of this statement, the trade will appear on your next regular monthly statement.

11/01/2018 to 11/30/2018
Isabelle Kerner Account #:108374364
2530 Q St NW Apt 34 Washington DC 20007

These rates are subject to revision without notice in accordance with any changes in the broker call loan rate, as published in the Wall Street Journal. For more complete information regarding interest charges to customers, consult the RHF Retail Commissions and Fee Schedule available through <https://about.robinhood.com/legal>.

RHS is a member of the Financial Industry Regulatory Authority, Inc. ("FINRA"), and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or via their website www.finra.org. RHS carries your account and acts as your custodian for funds and securities deposited with us directly by you, through RHF as a result of transactions we process to your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to both RHF and RHS. In order to protect your rights, including your right to SIPC coverage, please confirm any oral communications in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to: support@robinhood.com

The SEC requires all brokers-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealers relationship with such venue. In addition, the Rule (SEC 606) requires broker-dealers to disclose, upon customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact RHF.

Statement of Financial Condition
Robinhood Securities, LLC, unaudited Statement of Financial Condition as of June 30, 2018 is available on the Company's website at www.robinhood.com/legal. A paper copy may be requested at no cost by calling 1-(800)-282-1327. At June 30, 2018 Robinhood Securities, LLC, had a net capital of \$47,038,321, which was \$46,738,321 in excess of its required net capital of \$250,000.

PLEASE RETAIN THIS STATEMENT AS IT WILL BE HELPFUL IN PREPARING YOUR INCOME TAX RETURNS AND MAY BE NEEDED ALONG WITH SUBSEQUENT STATEMENTS TO VERIFY INTEREST CHARGES IN YOUR ACCOUNT. THIS STATEMENT SHALL BE DEEMED CONCLUSIVE UNLESS OBJECTED TO IN WRITING WITHIN 10 BUSINESS DAYS.

You are to promptly advise Robinhood of any material changes concerning your investment objectives or financial situation by updating your information using the Robinhood platform or by contacting support@robinhood.com.

Our financial statement is available for your personal inspection; it can also be emailed to you upon request.

As a reminder of the Securities Investor Protection Corporation (SIPC), funds are available to meet customer claims up to a ceiling of \$500,000, including a maximum of \$250,000 for cash claims. For additional information regarding SIPC coverage, including a brochure, please visit www.sipc.org.

Any free credit balances represent funds payable upon demand which, although properly accounted for on our books and records, is not segregated, and may be used in the conduct of this firm's business as permissible under the SEC Rule 15c3-2.

Notice to Customers

RHS acts as clearing agent for your trades. Your account, which was introduced to us by RHF, is established under your name on a "fully disclosed" basis at RHS. You remain a customer of RHF.

As required, under SEC rules, both the Firm's Order Routing Report as well as information regarding specific order routing information is available free of charge upon request.

As a clearing agent, we provide securities clearance and may provide order execution based on RHF instructions. RHS will not be involved with or have any responsibility for decisions regarding securities transactions in your account. RHF will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders and any instructions regarding the deposit or withdrawal of securities or monies should be made through RHF.

In addition to the above mentioned services, RHS will provide cashiering services, safeguarding of funds and securities while in our possession, monitoring compliance with applicable credit Regulation T and RHS internal policies, preparing and making accessible your account records (including transaction confirmations and periodic statements of your account).

Interest charges to your account may be based on the size and net debit balance during the interest period.



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CORRECTED STATEMENT

11/01/2018 to 11/30/2018
Isabelle Kerner Account #:108374364
2530 Q St NW Apt 34 Washington DC 20007

PORTFOLIO SUMMARY

| EQUITIES/OPTIONS | SYM/CUSIP | ACCT TYPE | QTY | PRICE | MKT VALUE | LAST PERIOD'S MKT VALUE | % CHANGE | EST. ANNUAL INCOME | % OF TOTAL PORTFOLIO |
|--|-----------|-----------|-----|----------|------------|-------------------------|----------|--------------------|----------------------|
| GE Estimated Yield: 4.81% | GE | Margin | 8 | \$7.50 | \$60.00 | \$80.80 | (25.74)% | \$2.89 | 0.58% |
| GW Pharmaceuticals Estimated Yield: 0.00% | GWPH | Margin | 10 | \$123.02 | \$1,230.20 | \$1,374.90 | (10.52)% | \$0.00 | 11.84% |
| Comcast Estimated Yield: 1.57% | CMCSA | Margin | 3 | \$39.01 | \$117.03 | \$114.42 | 2.28% | \$1.84 | 1.13% |
| Celgene Estimated Yield: 0.00% | CELG | Margin | 2 | \$72.22 | \$144.44 | \$143.20 | 0.87% | \$0.00 | 1.39% |
| Olympic Steel Estimated Yield: 0.37% | ZEUS | Margin | 1 | \$18.36 | \$18.36 | \$18.86 | (2.65)% | \$0.07 | 0.18% |
| Tivo Estimated Yield: 4.62% | TIVO | Margin | 19 | \$9.90 | \$188.10 | \$209.00 | (10.00)% | \$8.68 | 1.81% |
| Northwest Pipe Estimated Yield: 0.00% | NWPX | Margin | 11 | \$23.59 | \$259.49 | \$195.91 | 32.45% | \$0.00 | 2.50% |
| Seiko Epson Estimated Yield: 2.77% | SEKEY | Margin | 16 | \$7.93 | \$126.88 | \$128.48 | (1.25)% | \$3.51 | 1.22% |
| SolarEdge Estimated Yield: 0.00% | SEDG | Margin | 17 | \$38.93 | \$661.81 | \$658.41 | 0.52% | \$0.00 | 6.37% |
| Ebix Estimated Yield: 0.38% | E8IX | Margin | 6 | \$47.22 | \$283.32 | \$343.86 | (17.61)% | \$1.07 | 2.73% |
| Nokia Estimated Yield: 3.55% | NOK | Margin | 20 | \$5.47 | \$109.40 | \$112.40 | (2.67)% | \$3.89 | 1.05% |
| IPG Photonics Estimated Yield: 0.00% | IPGP | Margin | 1 | \$142.15 | \$142.15 | \$133.55 | 6.44% | \$0.00 | 1.37% |
| Global Partners Estimated Yield: 11.08% | GLP | Margin | 40 | \$17.28 | \$691.20 | \$809.60 | (14.62)% | \$76.57 | 6.65% |



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CORRECTED STATEMENT

11/01/2018 to 11/30/2018
Isabelle Kerner Account #:108374364
2530 Q St NW Apt 34 Washington DC 20007

PORTFOLIO SUMMARY

| EQUITIES/OPTIONS | SYM/CUSIP | ACCT TYPE | QTY | PRICE | MKT VALUE | LAST PERIOD'S MKT VALUE | % CHANGE | EST. ANNUAL INCOME | % OF TOTAL PORTFOLIO |
|---|-----------|-----------|-----|----------|-----------|-------------------------|----------|--------------------|----------------------|
| Shire Estimated Yield: 0.67% | SHPG | Margin | 1 | \$175.58 | \$175.58 | \$181.80 | (3.42)% | \$1.18 | 1.69% |
| Camber Energy Estimated Yield: 0.00% | CEI | Margin | 87 | \$0.17 | \$14.79 | \$17.04 | (13.20)% | \$0.00 | 0.14% |
| Twitter Estimated Yield: 0.00% | TWTR | Margin | 2 | \$31.45 | \$62.90 | \$69.50 | (9.50)% | \$0.00 | 0.61% |
| Fitbit Estimated Yield: 0.00% | FIT | Margin | 33 | \$5.51 | \$181.83 | \$156.09 | 16.49% | \$0.00 | 1.75% |
| American Water Estimated Yield: 1.81% | AWK | Margin | 10 | \$95.41 | \$954.10 | \$885.30 | 7.77% | \$17.31 | 9.18% |
| Zynerba Pharmaceuticals Estimated Yield: 0.00% | ZYNE | Margin | 3 | \$4.82 | \$14.46 | \$15.78 | (8.37)% | \$0.00 | 0.14% |
| Nucor Estimated Yield: 2.38% | NUE | Margin | 2 | \$60.41 | \$120.82 | \$118.24 | 2.18% | \$2.87 | 1.16% |
| Seagate Technology Estimated Yield: 4.46% | STX | Margin | 14 | \$43.09 | \$603.26 | \$563.22 | 7.11% | \$26.92 | 5.81% |
| Pfizer Estimated Yield: 3.59% | PFE | Margin | 8 | \$46.23 | \$369.84 | \$344.48 | 7.36% | \$13.27 | 3.56% |
| Gentex Estimated Yield: 1.86% | GNTX | Margin | 5 | \$22.52 | \$112.60 | \$105.25 | 6.98% | \$2.10 | 1.08% |
| GoPro Estimated Yield: 0.00% | GPRO | Margin | 10 | \$5.08 | \$50.80 | \$65.70 | (22.68)% | \$0.00 | 0.49% |
| Facebook Estimated Yield: 0.00% | FB | Margin | 5 | \$140.61 | \$703.05 | \$758.95 | (7.37)% | \$0.00 | 6.77% |
| Glu Mobile Estimated Yield: 0.00% | GLUU | Margin | 28 | \$7.41 | \$207.48 | \$197.40 | 5.11% | \$0.00 | 2.00% |



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PORTFOLIO SUMMARY

| EQUITIES/OPTIONS | SYM/CUSIP | ACCT TYPE | QTY | PRICE | MKT VALUE | LAST PERIOD'S MKT VALUE | % CHANGE | EST. ANNUAL INCOME | % OF TOTAL PORTFOLIO |
|---|-----------|-----------|-----|---------|--------------------|-------------------------|----------|--------------------|----------------------|
| eBay Estimated Yield: 0.00% | EBAY | Margin | 2 | \$29.85 | \$59.70 | \$58.06 | 2.82% | \$0.00 | 0.57% |
| Shell Midstream Estimated Yield: 3.99% | SHLX | Margin | 7 | \$18.84 | \$131.88 | \$143.08 | (7.83)% | \$5.26 | 1.27% |
| Soligenix Estimated Yield: 0.00% | SNGX | Margin | 10 | \$1.11 | \$11.10 | \$11.40 | (2.63)% | \$0.00 | 0.11% |
| Cypress Semiconductor Estimated Yield: 2.89% | CY | Margin | 53 | \$13.90 | \$736.70 | \$685.82 | 7.42% | \$21.27 | 7.09% |
| Mueller water Estimated Yield: 1.65% | MWA | Margin | 27 | \$10.53 | \$284.31 | \$277.02 | 2.63% | \$4.69 | 2.74% |
| Gorman-Rupp Estimated Yield: 1.51% | GRC | Margin | 7 | \$33.48 | \$234.36 | \$241.50 | (2.96)% | \$3.53 | 2.26% |
| Sonoco Estimated Yield: 2.90% | SON | Margin | 4 | \$57.54 | \$230.16 | \$218.32 | 5.42% | \$6.67 | 2.22% |
| Chesapeake Energy Estimated Yield: 0.00% | CHK | Margin | 33 | \$2.92 | \$96.36 | \$115.83 | (16.81)% | \$0.00 | 0.93% |
| Apogee Estimated Yield: 1.31% | APOG | Margin | 7 | \$36.45 | \$255.15 | \$252.70 | 0.97% | \$3.35 | 2.46% |
| Zogenix Estimated Yield: 0.00% | ZGNX | Margin | 3 | \$41.09 | \$123.27 | \$125.28 | (1.60)% | \$0.00 | 1.19% |
| Badger Meter Estimated Yield: 1.03% | 8MI | Margin | 10 | \$55.50 | \$555.00 | \$491.10 | 13.01% | \$5.69 | 5.34% |
| Total Securities | | | | | \$10,321.88 | | | \$212.63 | 99.35% |
| Total Cash (Net Portfolio Balance) | | | | | \$67.51 | | | | 0.65% |



85 Willow Rd, Menlo Park, CA 94025
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CORRECTED STATEMENT

11/01/2018 to 11/30/2018
Isabelle Kerner Account #:108374364
2530 Q St NW Apt 34 Washington DC 20007

PORTFOLIO SUMMARY

| EQUITIES/OPTIONS | SYM/CUSIP | ACCT TYPE | QTY | PRICE | MKT VALUE | LAST PERIOD'S MKT VALUE | % CHANGE | EST. ANNUAL INCOME | % OF TOTAL PORTFOLIO |
|------------------------|-----------|-----------|-----|-------|-------------|-------------------------|----------|--------------------|----------------------|
| Total Priced Portfolio | | | | | \$10,389.39 | | | | |



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ACCOUNT ACTIVITY

| DESCRIPTION | ACCT TYPE | TRANSACTION | DATE | QTY | PRICE | DEBIT | CREDIT |
|---|-----------|-------------|------------|-----|-------|-------|--------|
| Nokia Unsolicited, CUSIP: 654902204 | Margin | CONV | 11/12/2018 | 20 | | | |
| IPG Photonics Unsolicited, CUSIP: 44980X109 | Margin | CONV | 11/12/2018 | 1 | | | |
| SolarEdge Unsolicited, CUSIP: 83417M104 | Margin | CONV | 11/12/2018 | 13 | | | |
| Global Partners Unsolicited, CUSIP: 37946R109 | Margin | CONV | 11/12/2018 | 40 | | | |
| Shire Unsolicited, CUSIP: 82481R106 | Margin | CONV | 11/12/2018 | 1 | | | |
| Twitter Unsolicited, CUSIP: 90184L102 | Margin | CONV | 11/12/2018 | 2 | | | |
| Camber Energy Unsolicited, CUSIP: 13200M201 | Margin | CONV | 11/12/2018 | 87 | | | |
| Fitbit Unsolicited, CUSIP: 33812L102 | Margin | CONV | 11/12/2018 | 33 | | | |
| Northwest Pipe Unsolicited, CUSIP: 667746101 | Margin | CONV | 11/12/2018 | 30 | | | |
| American Water Unsolicited, CUSIP: 030420103 | Margin | CONV | 11/12/2018 | 10 | | | |
| GW Pharmaceuticals Unsolicited, CUSIP: 36197T103 | Margin | CONV | 11/12/2018 | 7 | | | |



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 2530 Q St NW Apt 34 Washington DC 20007

ACCOUNT ACTIVITY

| DESCRIPTION | ACCT TYPE | TRANSACTION | DATE | QTY | PRICE | DEBIT | CREDIT |
|---|-----------|-------------|------------|-----|-------|-------|--------|
| Zynherba Pharmaceuticals Unsolicited, CUSIP: 98986X109 | Margin | CONV | 11/12/2018 | 3 | | | |
| Nucor Unsolicited, CUSIP: 670346105 | Margin | CONV | 11/12/2018 | 2 | | | |
| Pfizer Unsolicited, CUSIP: 717081103 | Margin | CONV | 11/12/2018 | 8 | | | |
| Seagate Technology Unsolicited, CUSIP: G7945M107 | Margin | CONV | 11/12/2018 | 14 | | | |
| Gentex Unsolicited, CUSIP: 371901109 | Margin | CONV | 11/12/2018 | 5 | | | |
| GoPro Unsolicited, CUSIP: 38268T103 | Margin | CONV | 11/12/2018 | 10 | | | |
| Facebook Unsolicited, CUSIP: 30303M102 | Margin | CONV | 11/12/2018 | 5 | | | |
| Glu Mobile Unsolicited, CUSIP: 379890106 | Margin | CONV | 11/12/2018 | 28 | | | |
| Olympic Steel Unsolicited, CUSIP: 68162K106 | Margin | CONV | 11/12/2018 | 1 | | | |
| eBay Unsolicited, CUSIP: 278642103 | Margin | CONV | 11/12/2018 | 2 | | | |
| Shell Midstream Unsolicited, CUSIP: 822634101 | Margin | CONV | 11/12/2018 | 7 | | | |



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Isabelle Kerner Account #:108374364
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ACCOUNT ACTIVITY

| DESCRIPTION | ACCT TYPE | TRANSACTION | DATE | QTY | PRICE | DEBIT | CREDIT |
|--|-----------|-------------|------------|-----|-------|-------|--------|
| TVo Unsolicited, CUSIP: 88870P106 | Margin | CONV | 11/12/2018 | 19 | | | |
| Soligenix Unsolicited, CUSIP: 834223307 | Margin | CONV | 11/12/2018 | 10 | | | |
| Cypress Semiconductor Unsolicited, CUSIP: 232806109 | Margin | CONV | 11/12/2018 | 53 | | | |
| GE Unsolicited, CUSIP: 369604103 | Margin | CONV | 11/12/2018 | 8 | | | |
| Celgene Unsolicited, CUSIP: 151020104 | Margin | CONV | 11/12/2018 | 2 | | | |
| Mueller water Unsolicited, CUSIP: 624758108 | Margin | CONV | 11/12/2018 | 27 | | | |
| Gorman-Rupp Unsolicited, CUSIP: 383082104 | Margin | CONV | 11/12/2018 | 7 | | | |
| Sonoco Unsolicited, CUSIP: 835495102 | Margin | CONV | 11/12/2018 | 4 | | | |
| Chesapeake Energy Unsolicited, CUSIP: 165167107 | Margin | CONV | 11/12/2018 | 33 | | | |
| Apogee Unsolicited, CUSIP: 037598109 | Margin | CONV | 11/12/2018 | 7 | | | |
| Comcast Unsolicited, CUSIP: 20030N101 | Margin | CONV | 11/12/2018 | 3 | | | |



85 Willow Rd, Menlo Park, CA 94025
support@robinhood.com
CORRECTED STATEMENT

11/01/2018 to 11/30/2018
Isabelle Kerner Account #:108374364
2530 Q St NW Apt 34 Washington DC 20007

ACCOUNT ACTIVITY

| DESCRIPTION | ACCT TYPE | TRANSACTION | DATE | QTY | PRICE | DEBIT | CREDIT |
|--|-----------|-------------|------------|-----|----------|----------|---------|
| Zogenix Unsolicited, CUSIP: 98978L204 | Margin | CONV | 11/12/2018 | 3 | | | |
| Badger Meter Unsolicited, CUSIP: 056525108 | Margin | CONV | 11/12/2018 | 10 | | | |
| Ebix Unsolicited, CUSIP: 278715206 | Margin | CONV | 11/12/2018 | 4 | | | |
| Apex to RHS Conversion | Margin | CONV | 11/12/2018 | | | | \$11.21 |
| CDIV FOR SHELL MIDSTREAM PARTNERS L P | Margin | T/A | 11/27/2018 | | | | \$2.67 |
| CDIV FOR GLOBAL PARTNERS LP | Margin | T/A | 11/27/2018 | | | | \$19.00 |
| GW Pharmaceuticals Unsolicited, CUSIP: 361977T103 | Margin | Buy | 11/09/2018 | 1 | \$135.33 | \$135.33 | |
| Seiko Epson Unsolicited, CUSIP: 81603X108 | Margin | Buy | 11/09/2018 | 1 | \$8.04 | \$8.04 | |
| Ebix Unsolicited, CUSIP: 278715206 | Margin | Buy | 11/09/2018 | 2 | \$52.80 | \$105.60 | |
| Northwest Pipe Unsolicited, CUSIP: 667746101 | Margin | Sell | 11/09/2018 | 4 | \$22.96 | | \$91.82 |
| Seiko Epson Unsolicited, CUSIP: 81603X108 | Margin | Buy | 11/13/2018 | 15 | \$7.98 | \$119.70 | |
| SolarEdge Unsolicited, CUSIP: 83417M104 | Margin | Buy | 11/13/2018 | 4 | \$35.50 | \$142.00 | |

